



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Patent Application of :  
David W. Bainbridge et al. : Group Art Unit:  
Serial No.: 09/684,470 : Examiner:  
Filed: 10/6/00 :  
For: PADDING MADE FROM ADHESIVE :  
COATED BEADS :

Certificate of Mailing

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail under 37 CFR 1.8 on the date indicated below addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

W. Scott Carson

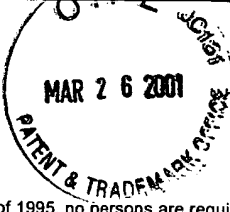
W. Scott Carson, Reg. No. 27,292

March 22, 2001

Date of Deposit

LETTER RE:

- (1) RULE 1.16(e) SUBMISSION OF DECLARATION OF  
CO-INVENTOR, MR. NICKERSON,
- (2) ASSIGNMENT AND COVER SHEET FOR MR. NICKERSON,
- (3) EOT,
- (4) COPY OF NOTICE OF MISSING PARTS,
- (5) POWER OF ATTORNEY FROM THE COMMON ASSIGNEE,
- ~~(6)~~ (6) RULE 3.73(b) STATEMENT, AND
- (7) CHECK



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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: **Brock USA, LLC**Application No./Patent No.: **09/684,470** Filed/Issue Date: **October 6, 2000**Entitled: **PADDING MADE FROM ADHESIVE COATED BEADS****Brock USA, LLC**, a **Colorado limited liability company**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

✓ 3/20/00  
Date

**Eric C. Jay**

Typed or printed name

Signature

**President**

Title



Please type a plus sign (+) inside the box **+**

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)	<b>Attorney Docket Number</b>	<b>2400/14</b>
	<b>First Named Inventor</b>	<b>David W. Bainbridge</b>
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	<b>09 / 684,470</b>
	<b>Filing Date</b>	<b>October 6, 2000</b>
	<b>Group Art Unit</b>	<b>3741</b>
<input type="checkbox"/> Declaration Submitted with Initial Filing	<b>OR</b>	<input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)
<b>Examiner Name</b>		<b>Patel</b>

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**PADDING MADE FROM ADHESIVE COATED BEADS**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) **October 6, 2000** as United States Application Number or PCT International (if applicable).

Application Number **684,470** and was amended on (MM/DD/YYYY) \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/01 (10-00)  
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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☐ Customer Number or Bar Code Label **23381** OR ☒ Correspondence address below

Name **Jack C. Sloan, Esq.  
Dorr, Carson, Sloan & Birney, P.C.**

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Address

City **Denver** State **CO** ZIP **80206**

Country **USA** Telephone **303-333-3010** Fax **303-333-1470**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR : ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) **David W.** Family Name or Surname **Bainbridge**

Inventor's Signature

Date

Residence: City **Golden** State **CO** Country **U.S.A.** Citizenship **U.S.A.**

Mailing Address **17028 West 16th Avenue**

Mailing Address

City **Golden** State **CO** ZIP **80401** Country **U.S.A.**

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) **L. Paul** Family Name or Surname **Nickerson**

Inventor's Signature ☒

Date **3/1/01**

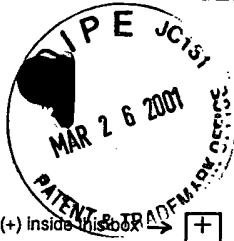
Residence: City **Superior** State **CO** Country **U.S.A.** Citizenship **U.S.A.**

Mailing Address **493 Eaton Circle**

Mailing Address

City **Superior** State **CO** ZIP **80027** Country **U.S.A.**

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



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## DECLARATION — Supplemental Priority Data Sheet

Additional foreign applications:

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional provisional applications:

Application Number	Filing Date (MM/DD/YYYY)

Additional U.S. applications:

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
09/158,088		09/22/1998	5,920,915
09/226,311		01/07/1999	6,032,300
09/506,507		02/17/2000	

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